

GAU/2837
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5-3-2PTO/SB/21 (08-00)
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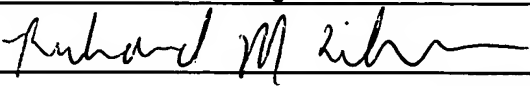
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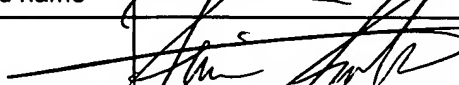
TRANSMITTAL FORM <small>Be used for all correspondence after initial filing)</small>	Application Number	10/053,096
	Filing Date	01/18/2002
	First Named Inventor	Melchior Tripoli III
	Group Art Unit	2837
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	STB-3.2.005/4271

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Acknowledgment Postcard
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	COBRIN & GITTES Richard M. Lehrer, Reg. No. 38,536
Signature	
Date	03/06/2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 6, 2002			
Typed or printed name	Kevin Kinlaw		
Signature		Date	03/06/2002

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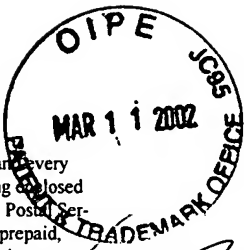
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I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202

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Atty.Doc.No.: STB-3.2.005/4271

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Melchiore Tripoli III

Examiner:

Serial No.: 10/053,096

Group Art Unit: 2837

Filed: January 18, 2002

Title: Stepped Sound Producing Module

Box No Fee
Asst. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty to disclose, Applicant submits herewith Form PTO-1449 together with copies of the cited references.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The applicant requests that the references cited on the accompanying Form PTO-1449 be made of record because, as checked off below, the following applies:

☒ either (a) this submission precedes issuance of a first Office Action on the merits or (b) a Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission and neither a final Office Action nor a Notice of Allowance has issued. No fee is due.

☐ neither a final Office Action nor a Notice of Allowance has issued and no Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission. A late submission fee under 37 CFR 1.97(c) is due.

☐ either a final Office Action or a Notice of Allowance has issued. Petition is made for consideration of the accompanying references. A petition fee is due together with a Certification of Timely Filing Under 37 CFR 1.97(e).

If a fee is due as mentioned previously and has not been submitted in connection with an earlier filed Information Disclosure Statement, the fee is enclosed. Please charge any deficiency in fees to deposit account no. 03-2317.

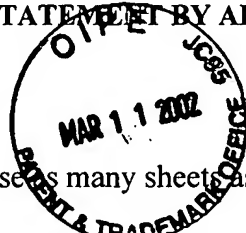
If submission of the accompanying references is deemed untimely to be made of record for this application, however, it is requested that they be placed in the file and that the applicant be so informed.

Respectfully submitted,

Date: March 6, 2002

A handwritten signature in dark ink, appearing to read "Richard M. Lehrer", written over a horizontal line.

Richard M. Lehrer
Reg. No. 38,536
COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)				Complete if Known					
				Application Number	10/053,096				
				Filing Date	January 18, 2002				
				First Named Inventor	Melchior Tripoli				
				Group Art Unit	2837				
				Examiner Name					
Sheet	1	of	1	Attorney Docket Number	STB-3.2.005/4271				
U.S. PATENT DOCUMENTS									
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Number	Kind Code ² (if known)						
		5,108,338		Margolis	April 28, 1992				
		4,823,907		Hoshi	April 25, 1989				
		5,254,007		Eagan	October, 19, 1993				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		Office ³	Number ⁴	Kind Code ⁵ (if known)					
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS									
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published							T

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.